

Substitute for Form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(use as many sheets as necessary)

AUG 10 2006

1 Of 4

Complete if Known

Application Number	09/040,868 490,868
Filing Date	1/24/2000
First Named Inventor:	Sam E. Kinney, JR
Art Unit	3624
Examiner Name	Felten, Daniel S.
Attorney Docket Number	003660.P019DCX

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	us-	3,863,060	1/28/1975	Rode et al.	
	us-	4,597,045	6/24/1986	Kiuchi	
	us-	4,799,156	1/17/1989	Shavit et al.	
	us-	4,842,275	6/27/1989	Tsatskin	
	us-	5,629,982	5/13/1997	Micali	
	us-	5,640,569	6/17/1997	Miller et al.	
	us-	5,721,735	2/24/1997	Smiroldo	
	us-	5,799,285	8/25/1998	Klingman	
	us-	5,803,500	9/8/1998	Mossberg	
	us-	5,809,483	9/15/1998	Broka et al.	
	us-	5,832,496	11/3/1998	Anand	
	us-	5,862,223	1/19/1999	Walker et al.	
	us-	5,890,138	3/30/1999	Godin et al.	
	us-	5,897,621	4/27/1999	Boesch et al.	
	us-	5,905,974	5/18/1999	Fraser et al.	
	us-	5,905,975	5/18/1999	Ausubel	
	us-	5,915,209	6/22/1999	Lawrence	
	us-	5,966,699	10/12/1999	Zandi	
	us-	6,021,398	2/1/2000	Ausubel	
	us-	6,026,383	2/15/2000	Ausubel	
	us-	6,044,363	3/28/2000	Mori et al.	
	us-	6,055,518	4/25/2000	Franklin et al.	
	us-	6,058,379	5/2/2000	Odom et al.	
	us-	6,061,663	5/9/2000	Bloom et al.	
	us-	6,151,589	11/21/2000	Aggarwal et al.	
	us-	6,161,099	12/12/2000	Harrington et al.	
	us-	6,266,652	7/24/2001	Godin et al.	
	us-	6,366,891	4/2/2002	Feinberg	
	us-	6,401,080 B	6/4/2002	Bigus et al.	
	us-	6,408,283 B1	6/18/2002	Alaia et al.	
	us-	6,415,269 B	7/2/2002	Dinwoodie	

Examiner
Signature*Daniel S.K.*

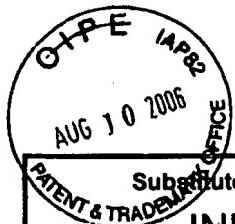
Date Considered

06/19/02

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Based on Form PTO/SB/08A (08-03) as modified by BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP on 09/10/03.



If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute for Form 1449/PTO
PATENT & TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

2 of 4

Complete if Known 490,868

Application Number	09/940,868
Filing Date	1/24/2000
First Named Inventor:	Sam E. Kinney, JR
Art Unit	3624
Examiner Name	Felten, Daniel S.

Attorney Docket Number 003660.P019DCX

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	us-	6,499,018 B1	12/24/2002	Alaia et al.	
	us-	6,850,907 B	2/1/2005	Lutnick et al.	
	us-	US 2004/0059646 A1	3/25/2004	Harrington et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		CA 2,069,955	6/9/1991	Lawlor et al.		
		CA 2,080,452	5/16/1993	Rosen		
		CA 2,298,481	9/11/1998	Johnson et al.		
		EP 0 399 850 A	11/28/1990	Silverman		
		JP 409101994A1	4/15/1997	Inda		
		JP 4100789992A	3/11/1998	Mori et al.		
		WO 92/15174	9/3/1992	Grusin et al.		
		WO 98/34187 A1	8/6/1998	Grothauge et al.		
		WO 99/63461	12/9/1999	Dinwoodie		
		WO 00/17797	3/30/2000	Alaia et al.		
		WO 00/79463 A1	12/28/2000	Ephrati et al.		
		WO 01/54040 A1	7/26/2001	Kinney et al.		

Examiner Signature

Date Considered
06/19/07

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

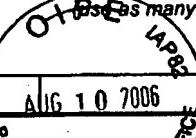
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute for Form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Sheet AUG 10 2006

3 of 4

Complete if Known

Application Number	09/940,868 <i>490,868</i>
Filing Date	1/24/2000
First Named Inventor:	Sam E. Kinney, JR
Art Unit	3624
Examiner Name	Felten, Daniel S.

Attorney Docket Number 003660.P019DCX

NON PATENT LITERATURE DOCUMENTS

Examiner Initials* No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
<i>J</i>	J. Sairamesh, et al., "Economic Framework for Pricing and Charging in Digital Libraries," D-Lib Magazine, ISSN 1082-9873 (February 1996)	
<i>J</i>	Todd E. Rockoff and Michael Groves, "Design of an Internet-based system for remote Dutch auctions," Internet Research: Electronic Networking Applications and Policy, Vol. 5, No. 4, pp. 10-16 (1995)	
	"Online Bidding Software," Electronic Buyers' News, Issue No. 1072, p. 86, 1/6p (August 25,, 1997)	
	"FairMarket Launches New Self-Serve Auctions," Business Wire, p6161495 (June 16, 1998)	
	"Broadvision Developing First Interactive Commerce Management System to Support Online Sales & Marketing Process; New Software Category Necessary to Interactive Network Architecture," Business Wire, p. 5150152 (May 15, 1995)	
	Lee, Ho Geun, "Do electronic marketplaces lower the price of goods?" Communications of the PCM, V. 41, No. 1, pp. 73-80 (January 1998)	
	"Sold!... To the Lowest Bidder," Computer Finance, Vol. 6, No. 2 (July 1995)	
	"Venture Capitalists Fund Two Massachusetts Internet Related Companies," Boston Globe (January 14, 1998)	
	Von det Fehr, et al., "Predatory bidding in Sequential Auctions," Oxford Economic Papers, Vol. 46, No. 3, p. 345(12) (July 1994)	
	"Moai Technologies Introduces New Categories of Business to Business Auction Software," Business Editors and Computer Writers (March 16, 1998)	
	Kikuchi, et al., "Multi-Round Anonymous Auction Protocols," IEICE Trans. Inf. & Syst., Vol. E82-D, No. 4 (April 1999)	
	Vigoroso, "Buyers prepare for brave new World of E-Commerce," Purchasing, Vol. 126, No. 6, p. S4(1) (April 22, 1999)	
	"What you need to know to bid in FCC's Narrowband Auction," Washington Telecom News, Vol. 2, No. 26, p. 6(2) (June 27, 1994)	
	Fox, "The Calm Way of Buying and Selling Livestock," Sydney Morning Herald (October 1988)	
	"SHOPPERSUNIVERSE," (August 27, 1996)	
<i>J</i>	Jackson, "FCC Charges for Online Bidding," Government Computer News (January 27, 1997)	
<i>J</i>	"Ingram Micro Launches Real-Time ON-Line Auction Site," PR Newswire (February 11, 1998)	

Examiner Signature

Date Considered *06/19/02*

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached.
 This collection of information is required by 37 CFR 1.88. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.
 If you need assistance in completing the form, call 1-800-PTO-8199 (1-800-786-8199) and select option 2.

Substitute for Form 1449/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

AUG 10 2006



PATENT
SHEET
TRADEMARK

4 of 4

Complete if Known

Application Number	09/940,868 09/1890 868
Filing Date	1/24/2000
First Named Inventor:	Sam E. Kinney, JR
Art Unit	3624
Examiner Name	Felten, Daniel S.

Attorney Docket Number 003660.P019DCX

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		"Adauction.com Boosts Revenue with Enhanced Ad 'Auction' Format; Morning and Afternoon "Auctions" and "AutoExtend" Feature Maximize Opportunistic Media Buying on the Web," Business Wire (May 4, 1998)	
		Woods, "Excite Calls Online Auctions," Newsbytes News Network (June 10, 1998)	
		"Internet: Excite Going Once, Twice, Three Times to Auction," Network Briefing (June 11, 1998)	
		Kumar, et al., "Internet Auctions," Third USENIX Workshop on Electronic Commerce Proceedings, p. 49 (August 31 - September 3, 1998)	
		M. Reck, "Types of Electronic Auctions," Hochschule St. Gallen	
		C. Wrigley, "Design Criteria for Electronic Market Servers," Electronic Markets, Vol. 7, No. 4 (1997)	
		"Shawmut National Will Auction \$46 Million In Commercial Real Estate in December," Business Wire, Business Editors (November 3, 1992)	
		Wurman et al., "The Michigan Internet AuctionBot: A Configurable Auction Server for Human and Software Agents," Artificial Intelligence Laboratory, University of Michigan (1998)	
		Buyer's Auction Online, http://buyersauction.com/userinfo.htm (1998)	
		Keith Ervin, "Three Tree Point Property Draws 1.5 Million Bid," Seattle Times (February 11, 1998)	

Examiner
Signature

Date
Considered 06/19/02

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-768-9199) and select option 2.